

Type theory and the logic of toposes

Xuanrui Qi ¹

¹Graduate School of Mathematics, Nagoya University

July 21, 2021

Correspondence between type theory and category theory

- Simply-typed λ -calculus \rightleftharpoons cartesian closed categories
- Extensional Martin-Löf type theory \rightarrow presheaf categories
- Extensional calculus of constructions \rightleftharpoons elementary toposes
- Intensional type theory \rightleftharpoons $(\infty, 1)$ -Grothendieck toposes

Some category theory

Some category
theory

STLC and
CCCs

Dependent
type theory

Intensional
and
extensional
type theory

exponential $(Y^X, \text{ev}) = \text{“internal hom”}$. In **Set**:
 $Y^X = X \rightarrow Y$

subobject classifier (Ω, true) , “classifies” monomorphisms. In
Set: 2-element set.

cartesian closed category (CCC) category w/ all finite products
and exponentials

(elementary) topos category w/ all finite limits & colimits,
exponentials, and a subobject classifier

Some category theory

$$\begin{array}{ccc}
 Z \times X & & \\
 \downarrow g \times \text{id} & \searrow f & \\
 Y^X \times X & \xrightarrow{\text{ev}} & Y
 \end{array}$$

Figure: UP for exponentials

$$\begin{array}{ccc}
 S & \longrightarrow & \mathbf{1} \\
 \downarrow & & \downarrow \text{true} \\
 X & \dashrightarrow_{\phi} & \Omega
 \end{array}$$

Figure: the subobject classifier pullback diagram

What is the simply typed λ -calculus (STLC)?

a simple computational language for logic

Expressions (terms) and types:

function type $(A \rightarrow B)$ functions $\lambda x.a$, can be applied $f a$ (or
 $f(a)$)

product type $(A \times B)$ pairs (a, b) , canonical projections fst ,
 snd

singleton type $(\mathbf{1})$ single inhabitant tt

reduction notion of computation, “applying functions”

$\beta\eta$ -equivalence computational notion of equivalence,
observational equivalence/equality

$$\begin{aligned}(\lambda x.x y)(\lambda x.x) &\rightarrow (x y)[x := (\lambda x'.x')] \\ &\rightarrow (\lambda x'.x')y \rightarrow x'[x' := y] \rightarrow y\end{aligned}$$

Typing rules

Typing rules: $\Gamma \vdash M : \tau$, “under Γ (context = mapping from variables to types), M has type τ ”

$$\text{TYP-ABS} \quad \frac{\Gamma; x : \tau \vdash M : \tau'}{\Gamma \vdash \lambda(x : \tau).M : \tau \rightarrow \tau'}$$

$$\text{TYP-APP} \quad \frac{\Gamma \vdash M : \tau \rightarrow \tau' \quad \Gamma \vdash N : \tau}{\Gamma \vdash M N : \tau'}$$

The Curry-Howard correspondence

STLC considered as logic: types = proposition, terms = proof.

The Curry-Howard correspondence

Some category
theory

STLC and
CCCs

Dependent
type theory

Intensional
and
extensional
type theory

STLC considered as logic: types = proposition, terms = proof.

Proof as computation: prove B from A = computational procedure to produce proof of B from proof of A .

Specifically: STLC = constructive propositional logic. $\rightarrow = \implies$, $\times = \wedge$, proves same propositions.

STLC and CCCs (1): syntactic category

Construct category from STLC:

- objects = types
- morphisms = functions ($\lambda x.M(x)$)
- composition of morphisms = composition of functions
- identity = identity function ($\lambda x.x$)

This is a CCC!

STLC and CCCs (2): the other way round

“Interpret” STLC in a category: assign object to type, contexts to products of types, terms to morphisms, etc.

Theorem: STLC can be interpreted in any CCC!

Construction: recursion on typing derivation, application as evaluation map, λ as exponential, product as categorical product, etc.

STLC and CCCs (2): the other way round

“Interpret” STLC in a category: assign object to type, contexts to products of types, terms to morphisms, etc.

Theorem: STLC can be interpreted in any CCC!

Construction: recursion on typing derivation, application as evaluation map, λ as exponential, product as categorical product, etc.

Important property: soundness. $\beta\eta$ -equivalent terms have same interpretation.

STLC and CCCs (3): the equivalence

Construction: to every CCC, can construct language w/ types
from objects, terms from morphisms, etc.

STLC and CCCs (3): the equivalence

Construction: to every CCC, can construct language w/ types
from objects, terms from morphisms, etc.

This language is STLC!

STLC and CCCs (3): the equivalence

Construction: to every CCC, can construct language w/ types from objects, terms from morphisms, etc.

This language is STLC!

Result: “equivalence” between STLC and CCCs.

Martin-Löf type theory

Problem with STLC: very weak, no quantifiers, impractical as a logic.

Solution: **Martin-Löf type theory** (MLTT)

$\Pi(x : A).B$ (dependent function) and $\Sigma(x : A).B$ (dependent product), extended version of \rightarrow and \times to allow type depending on terms.

Curry-Howard: $\Pi = \forall$, $\Sigma = \exists$, equivalent to constructive higher-order logic.

Close to “natural” mathematical language w/ unrestricted quantification!

The identity type

New type: $a =_A b$, a type/proposition encoding equality of a and b (**propositional equality/equivalence**).

- Curry-Howard: the proposition of logical equality
- Equivalent to observational/ $\beta\eta$ -equivalence

The identity type

New type: $a =_A b$, a type/proposition encoding equality of a and b (**propositional equality/equivalence**).

- Curry-Howard: the proposition of logical equality
- Equivalent to observational/ $\beta\eta$ -equivalence

MLTT also has definitional/intrinsic notion of equality $\Gamma \vdash a \equiv b : A$, used e.g. to decide if two things should be considered equal in proof-checking.

Universes

Problem: need “type of types” (e.g., to quantify over types in Π or Σ)

Naïve solution: $A : \mathcal{U}, \mathcal{U} : \mathcal{U}$ (**DANGER!**, Russell’s paradox)

Proper solution: hierarchy of universes $\mathcal{U}_0, \mathcal{U}_1, \dots$, such that

$$\mathcal{U}_i : \mathcal{U}_{i+1}$$

If $\Gamma \vdash A \text{ type}_i$, then $\Gamma \vdash A : \mathcal{U}_i$

Category with families (CwF)

A categorical structure close to the syntax to type theory;
“scaffolding” for semantics.

- objects = contexts
- functors $\text{Ty}(-) : \mathcal{C} \rightarrow \mathbf{Set}$, $\text{Tm}(\Gamma; A) : \mathbf{Set}$
- for $\Gamma : \mathcal{C}$ and $A \in \text{Ty}(\Gamma)$, an “extension” $\Gamma.A : \mathcal{C}$

Close to syntax, so construct CwF structure on \mathcal{C} = get a
model of MLTT in \mathcal{C}

Interlude: Grothendieck construction

presheaf on \mathcal{C} = functor $\mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ (example: Yoneda functor $\text{Hom}(-, C)$)

Grothendieck construction $\int_{\mathcal{C}} F$ on presheaf $F : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ =
“category of elements” of F . More precisely, pairs (X, p) ,
where $X : \mathcal{C}$, $p \in F(X)$.

Interlude: Grothendieck construction

presheaf on \mathcal{C} = functor $\mathcal{C}^{\text{op}} \rightarrow \mathbf{Set}$ (example: Yoneda functor $\text{Hom}(-, C)$)

Grothendieck construction $\int_{\mathcal{C}} F$ on presheaf $F : \mathcal{C}^{\text{op}} \rightarrow \mathbf{Set} =$ “category of elements” of F . More precisely, pairs (X, p) , where $X : \mathcal{C}$, $p \in F(X)$.

“Structured, categorized data to one unstructured big table”

The presheaf model

Theorem: MLTT has a model in any presheaf category, i.e.
 $\mathbf{PSh}(\mathcal{C}) = [\mathcal{C}^{\text{op}}; \mathbf{Set}]$ where \mathcal{C} small

Construct CwF from any presheaf category:

- context $\Gamma = \text{presheaf } \Gamma$
- $\text{Ty}(\Gamma) = \mathbf{PSh}(\int_{\mathcal{C}} \Gamma)$
- each type is a presheaf i.e. a family of sets parametrized by $I : \mathcal{C}$
- other constructions

The presheaf model: Π , Σ , $=$

Presheaf model can interpret Π , Σ , $=$:

- Π interpreted by family of functions interpreted by morphisms $f : J \rightarrow I$ in \mathcal{C}
- abstractly: right adjoint to pullback/base change functor
- Σ interpreted by categorical products in CwF (which exist in any category of presheaves)
- $=$ interpreted by equalizers in CwF (which exist in any category of presheaves)

Bonus: MLTT in any topos

PSh(\mathcal{C}) is a topos.

Can construct CwF in any elementary topos \mathcal{E} !

- context $\Gamma = \text{object } \Gamma : \mathcal{E}$
- same: “right adjoint to pullback functor”, product, equalizer
- bonus: a **universe of propositions**

The universe of propositions

Elementary toposes support, in addition to \mathcal{U}_i , a universe Prop of *logical propositions*

- Prop is impredicative: quantifications over Prop still in Prop , so no universe levels needed
- Prop is proof-irrelevant: $\vdash p \equiv q : P$ if $P : \text{Prop}$ (any two proofs of same proposition considered equal)
- The subobject classifier provides semantics for Prop
- $\text{MLTT} + \text{Prop} = \text{calculus of constructions (CoC)}$;
elementary topos = CoC!

Intensional vs extensional type theory

Two different notions of equality: definitional/intrinsic equality (definitional \equiv), observational/extrinsic equality ($\equiv_{\beta\eta}$, propositional equality $=_A$)

Generally: definitional equality \subset observational equality! There are terms observationally equal but not definitionally equal.

Solution: either accept (intensional type theory), or fix by making observationally equal terms definitionally equal (“reflection rule”):

EQ-REF

$$\frac{\Gamma \vdash p : x =_A y}{\Gamma \vdash x \equiv y : A}$$

Extensional type theory

Type theory + reflection rule = extensional type theory!

Consequences:

- uniqueness of identity proofs (UIP): if $p, q : a =_A b$ then $p =_{a=_A b} q$
- deciding typing (given Γ , a and A , check if $\Gamma \vdash a : A$) becomes undecidable
- fits our intuition about equality, but computationally bad

Extensional type theory

Type theory + reflection rule = extensional type theory!

Consequences:

- uniqueness of identity proofs (UIP): if $p, q : a =_A b$ then $p =_{a=_A b} q$
- deciding typing (given Γ , a and A , check if $\Gamma \vdash a : A$) becomes undecidable
- fits our intuition about equality, but computationally bad

Presheaf categories (and toposes in general) support only extensional type theory! (because equalizers are unique)

Interlude: ∞ -categories

Some category
theory

STLC and
CCCs

Dependent
type theory

Intensional
and
extensional
type theory

- Many different definitions
- One possible definition ($(\infty, 1)$ -category): a simplicial set (presheaf on simplex category Δ) satisfying certain conditions
- Roughly speaking: morphisms, 2-morphisms between morphisms, 3-morphisms between 2-morphisms, etc.
- Can also define ∞ version of groupoid (∞ -groupoid, ∞ -category where all morphisms are (weak) equivalences)

Homotopy type theory

In intensional TT, types A are groupoids (identities $a =_A b$ are the invertible morphisms)

Identities of identities $p =_{a=_A b} q$, etc., exist, so types are ∞ -groupoids!

(Grothendieck's homotopy hypothesis: ∞ -groupoid \equiv topological space?)

Homotopy type theory

In intensional TT, types A are groupoids (identities $a =_A b$ are the invertible morphisms)

Identities of identities $p =_{a=A} b$, etc., exist, so types are ∞ -groupoids!

(Grothendieck's homotopy hypothesis: ∞ -groupoid \equiv topological space?)

Homotopy type theory (HoTT) = viewpoint of intensional TT, types have inherent higher structure. This allows powerful **univalence** axiom (equivalence \approx equality), which is incompatible with UIP.

Models of intensional type theory

Since types have inherent homotopy structure, must take this structure into consideration.

- simplicial set/cubical set (based on presheaf model) or Quillen-style model category: presentations of ∞ -categories, so inherently support homotopy structure
- any Lurie-style $(\infty, 1)$ -Grothendieck topos supports intensional TT w/ univalence (Shulman, 2019)